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TRADEN Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FEB 0 7 2008

Complete if Known **Application Number** 09/005,006 Filing Date January 9, 1998 Shuichi KANNO First Named Inventor 1793 **Art Unit Examiner Name** Ngoc Nguyen Attorney Docket Number 056203.50311US

(Use as many sheets as necessary) Sheet of

**U.S. PATENT DOCUMENTS Publication Date** Name of Patentee or Pages, Columns, Lines, Where Cite Document Number Examiner Relevant Passages or Relevant MM-DD-YYYY Applicant of Cited Document Initials' No.1 Number-Kind Code<sup>2</sup> (if known) Figures Appear Tamhankar et al. **B1** US-5,468,459 11-21-1995 02-21-1989 Douglas B2 US-4,807,016 **B**3 US-5,417,742 05-23-1995 Tamhankar et al. Wu et al. В4 US-5,396,022 03-07-1995 Matlow 07-12-1994 **B**5 US-5,328,556 US-5,451,378 09-19-1995 Russell et al. B6 Grinstaff et al. 04-16-1996 **B7** US-5,508,021 US-US-US-US-US-US-US-USus. USus-

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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	<b>⊤</b> 6			
Initials'	No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	<u> </u>			
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5	Substitute for form 1449A/PTO			C	omplete if Known	
				Application Number	09/005,006	
	INFORMATION DISC	CLOS	SURE	Filing Date	January 9, 1998	
	STATEMENT BY AF	PLIC	ANT	First Named Inventor	Shuichi KANNO	
				Art Unit	1793	
,	(Use as many sheets as n	ecessa	rv)	Examiner Name	Ngoc Nguyen	
Sheet	2	of	8	Attorney Docket Number	056203.50311US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T²
	В8	Rossin Miscellaneous Motion 9, Patent Interference No. 105,402 (McK), Technology Center 1700, August 8, 2006	
	В9	Order re Rossin Miscellaneous Motion 9 (via e-mail from Judge McKelvey), Patent Interference No. 105,402 (McK), Technology Center 1700, August 8, 2006	
	B10	Kanno Reply 1, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B11	Kanno Reply 2, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B12	Kanno Reply 3, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B13	Kanno Reply 4, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B14	Kanno Reply 5, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B15	Rossin Reply 4, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B16	Rossin Reply 8, Patent Interference No. 105,402 (McK), Technology Center 1700, August 11, 2006	
	B17	Kanno Objections to Rossin Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 14, 2006	
	B18	Memorandum Opinion and Order Dismissing as Moot Rossin Miscellaneous Motion 9, Patent Interference No. 105,402 (McK), Technology Center 1600, August 17, 2006	
	B19	Rossin Objections to Kanno Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 18, 2006	
	B20	Notice of Conference, Patent Interference No. 105,402 (McK), Technology Center 1700, September 6, 2006	
	B21	Kanno Miscellaneous Motion 5 (to Exclude Evidence), Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B22	Kanno Request for Oral Argument, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	

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	B23	Rossin Miscellaneous Motion 10 (to Exclude Kanno Exhibits), Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006				
	B24	Rossin Request for Oral Argument, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006				
	B25	Order Setting Oral Argument Date, Patent Interference No. 105,402 (McK), Technology Center 1700, September 14, 2006	_			
	B26	Kanno Opposition 10, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006				
	B27	Rossin Opposition 6, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006				
	B28	Kanno Reply 6, Patent Interference No. 105,402 (McK), Technology Center 1700, October 19, 2006				
	B29	Rossin Reply 10, Patent Interference No. 105,402 (McK), Technology Center 1700, October 19, 2006				
	B30	Rossin Submission of Demonstrative Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, November 7, 2006				
	B31	Rossin's Amended Designation of Lead and Backup Counsel, Patent Interference No. 105,402 (McK), Technology Center 1700, November 10, 2006				
	B32	Letter to Judge McKelvey from M. Jacobs, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007				
	B33	Letter to Judge McKelvey from G. Birkenmeier, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007				
	B34	Memorandum Opinion and Order – Decision on Kanno Motions 3, 4 and 5 and Other Issues, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007				
	B35	Final Judgment, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007				
	B36	Redeclaration, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007				
	B37	Memorandum Opinion BD.R. 125(a), Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007				
	B38	Memorandum Opinion, Patent Interference No. 105,402 (McK), Technology Center 1700, January 29, 2007				

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				Application Number	09/005,006				
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	STATEMENT BY API	PLIC	CANT	First Named Inventor	Shuichi KANNO				
				Art Unit	1793				
	(Use as many sheets as ne	cessa	ry)	Examiner Name	Ngoc Nguyen				
Sheet	4	of	8	Attorney Docket Number	056203.50311US				

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	B39	Rossin's Request for Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007	
	B40	Rossin Priority Statement, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007	
	B41	Order Inviting Response, Patent Interference No. 105,402 (McK), Technology Center 1700, March 1, 2007	
	B42	Kanno Response to Request for Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, March 22, 2007	
	B43	Kanno Substantive Motion 2 (Corrected) (to declare U.S. Patent No. 6,673,326 unpatentable), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
	B44	Kanno Substantive Motion 4 (Corrected) (to add Count B), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
	B45	Kanno Substantive Motion 5 (Corrected) (to add Count C), Patent Interference No. 105,402 (McK), Technology Center 1700, August 7, 2006	
	B46	Post Judgment Communication, Patent Interference No. 105,402 (McK), Technology Center 1700, August 20, 2007	
	B47	Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,402 (McK), Technology Center 1700, August 28, 2007	
	B48	Order Denying Rehearing, Patent Interference No. 105,402 (McK), Technology Center 1700, May 8, 2007	
	B49	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 5, 2007	
	B50	Second Extension of Time for Appeal, Patent Interference No. 105,402 (McK), Technology Center 1700, July 19, 2007	
	B51	Stipulated Extension of Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, July 19, 2007	
	B52	Memorandum and Order (Decision on Petition Under 37 C.F.R. § 1.304(a)(3)(i), Patent Interference No. 105,402 (McK), Technology Center 1700, July 20, 2007	
	B53	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,402 (McK), Technology Center 1700, August 9, 2007	
	B54	Notice of Filing of Express Abandonment, Patent Interference No. 105,402 (McK), Technology Center 1700, August 15, 2007	

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i i	INFORMATION DISC	LOS	URE	Filing Date	January 9, 1998
	STATEMENT BY API	PLIC	ANT	First Named Inventor	Shuichi KANNO
	• · · · · · · · · · · · · · · · · · · ·			Art Unit	1793
	(Use as many sheets as ne	cessa	rv)	Examiner Name	Ngoc Nguyen
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	B55	Declaration of Takeshi "Ken" Yasuda, Patent Interference No. 105,402 (McK), Technology Center 1700, July 19, 2006	
	B56	Declaration of Shuichi Kanno (No. 2), Patent Interference No. 105,402 (McK), Technology Center 1700, July 20, 2006	
	B57	Revocation of Power of Attorney and Appointment of New Power of Attorney dated November 15, 2001 filed in U.S. Application Serial No. 09/005,006	
	B58	Regents of the Univ. of Cal. v. Univ. of Iowa Research Found., 455 F.3d 1371 (Fed. Cir. 2006)	
	B59	Kanno Objections to Rossin Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, April 28, 2006	
	B60	Kanno Objections to Rossin Exhibits (No. 2), Patent Interference No. 105,402 (McK), Technology Center 1700, June 23, 2006	
	B61	Kanno Objections to Rossin Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 14, 2006	
	B62	PTO-1449 Forms filed in U.S. Application Serial No. 09/005,006	
	B63	Notices of References Cited from U.S. Application Serial No. 09/005,006	
	B64	Kanno List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006	
	B65	Rossin Submission of List of Objections, Patent Interference No. 105,402 (McK), Technology Center 1700, April 28, 2006	
	B66	Rossin Objections to Kanno Exhibits (No. 3), Patent Interference No. 105,402 (McK), Technology Center 1700, August 18, 2006	
-	B67	CAMBIO et al., "Analysis of Data for Perfluorocompund (PFC) Emission Controls Systems (S69)," <a href="http://www.sematech.org/docubase/abstracts/2847aeng.htm">http://www.sematech.org/docubase/abstracts/2847aeng.htm</a> , July 6, 1995	
	B68	Letter to G. Birkenmeier from M. Jones, American Chemical Society, September 22, 2006	
	B69	Declaration of David Flaxbart, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006	

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	B70	Declaration of Susan Rogers, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006				
	B71	Hitachi High Technologies America, "SCDS PFC Abatement System (The SCDS Advantage)," February 28, 2007				
	B72	Hitachi High Technologies America, "SCDS PFC Abatement System (System Performance)," February 28, 2007				
	B73	Hitachi High Technologies America, "SCDS PFC Abatement System (System Overview)," February 28, 2007	:			
13718	B74	Hitachi High Technologies America, "SCDS PFC Abatement System (System Maintenance," February 28, 2007				
	B75	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 8, 2006				
	B76	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, February 28, 2007				
	B77	Rossin Submission of Current List of Exhibits, Patent Interference No. 105,402 (McK), Technology Center 1700, September 29, 2006				
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	B79	Declaration of Interference, Patent Interference No. 105,512 (McK), Technology Center 1700, January 29, 2007				
	B80	Rossin's Designation of Lead and Backup Counsel, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				
	B81	Rossin's Designation of the Real Party-in-Interest, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				
	B82	Rossin's Notice of Related Proceedings, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				
	B83	Rossin's Request for File Copies, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				
	B84	Rossin's Submission of a Clean Copy of Claims, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				
	B85	Kanno Designation of Lead and Backup Counsel, Patent Interference No. 105,512 (McK), Technology Center 1700, February 12, 2007				

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	В90	Kanno Notice of Related Proceedings (Amended), Patent Interference No. 105,512 (McK), Technology Center 1700, February 13, 2007			
	B91	Kanno List of Proposed Motions, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007			
	B92	Rossin's Motions List, Patent Interference No. 105,512 (McK), Technology Center 1700, March 1, 2007			
	B93	Order – Motion Times – Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 9, 2007			
	B94	Revised Order – Motion Times – Bd.R. 104(c), Patent Interference No. 105,512 (McK), Technology Center 1700, March 12, 2007			
	B95	Rossin's Uncontested Miscellaneous Motion 1 (Certificate of Correction for Claim 4), Patent Interference No. 105,512 (McK), Technology Center 1700, March 13, 2007			
	B96	Request for Certificate of Correction dated March 13, 2007 filed in U.S. Patent No. 6,673,326			
	B97	Order – Format and Length of Papers, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007			
	B98	Order Granting Rossin Miscellaneous Motion 1, Patent Interference No. 105,512 (McK), Technology Center 1700, March 15, 2007			
	B99	Letter to Judge McKelvey from G. Birkenmeier, Patent Interference No. 105,512 (McK), Technology Center 1700, April 16, 2007			
	B100	Stipulated Changes to Revised Order – Motion Times, Patent Interference No. 105,512 (McK), Technology Center 1700, May 23, 2007			

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Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/005,006 INFORMATION DISCLOSURE January 9, 1998 Filing Date Shuichi KANNO First Named Inventor STATEMENT BY APPLICANT 1793 Art Unit Examiner Name Ngoc Nguyen (Use as many sheets as necessary) 056203.50311US Attorney Docket Number Sheet of R

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T²				
	B101	Stipulated Extension of Time (Time Periods 1-6), Patent Interference No. 105,512 (McK), Technology Center 1700, June 25, 2007					
	B102	Notice of Filing of Settlement Agreement and Request to Keep Same Separate from Interference Files, Patent Interference No. 105,512 (McK), Technology Center 1700, August 9, 2007					
	B103	Notice of Filing of Express Abandonment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 15, 2007					
	B104	Judgment, Patent Interference No. 105,512 (McK), Technology Center 1700, August 17, 2007					
	B105 Notice Granting Request to Keep Agreement Separate from Interference File, Patent Interference No. 105,512 (McK), Technology Center 1700, August 28, 2007						
Evaminer	<u> </u>	Date					

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